File No.: 1946/1B035-US4

#### **DECLARATION AND POWER OF ATTORNEY**

(Continuation-In-Part Application)

As a below named inventor, I declare that:

1. The information given herein is true, and I believe that I am the original, first and sole inventor (if only one name is listed below), or a joint inventor (if plural inventors are named below), of the invention entitled:

COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS

which is described and claimed in:

the attached specification or

X the specification in application
 Serial No. 08/798,031,
 Filed: February 6, 1997
 (for declaration not accompanying application);

2. This application in part discloses and claims new subject matter as well as subject matter disclosed in my earlier-filed application(s)

Serial No. <u>PCT/US96/04580</u>

Filed: <u>April 1, 1996</u>

Serial No. 08/414,654 (now, U.S. Patent 5,650,386)

Filed: <u>March 31, 1995</u>

For: COMPOUNDS AND COMPOSITIONS FOR

**DELIVERING ACTIVE AGENTS** 

Serial No. <u>60/003,111</u>

Filed: September 1, 1995

Serial No. <u>60/017,902</u> Filed: <u>March 29, 1996</u>

3. I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 C.F.R. §1.56, including such material information which became available between the filing date of said earlier application(s) and the filing date of this application.

- 4. I have reviewed and understand the contents of the specification, including the claims, as amended by any amendment specifically referred to herein.
- 5. As to the subject matter of this application which is common to said earlier application I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to said earlier application or in public use or on sale in the United States of America more than one year prior to said earlier application; said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application.

I hereby claim priority benefits under Title 35, United States Code, 119 of any U.S. provisional application(s) listed below:

#### PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY	APPLICATION NO.	DATE OF FILING
U.S.	60/017,902	3/29/96
U.S.	60/003,111	9/1/95

Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

# ALL FOREIGN APPLICATIONS, IF ANY, FILED PRIOR TO THE APPLICATION(S) OF WHICH PRIORITY IS CLAIMED

COUNTRY APPLICATION NO. DATE OF FILING

I hereby claim the benefit under Title 35, United States Code, 120 of any U.S. application(s) listed below:

### PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY APPLICATION NO. DATE OF FILING

U.S. 08/414,654 3/31/95

PCT PCT/US96/04580 4/1/96

PCT Application Serial No. PCT/US96/04580 filed on April 1, 1996, claims priority from U.S. Provisional Application Serial No. 60/003,111 filed on September 1, 1995 and U.S. Provisional Application Serial No. 60/017,902 filed on March 29, 1996.

6. As to the new subject matter of the present application which is not common to said earlier application I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in a printed publication in any country before my or our invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States of America more than one year prior to the date of the present application, and said matter has not been patented or made the subject of an inventor's certificate in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application. As to the new subject matter of the present application which is not common to said earlier application, I hereby claim the priority benefits under 35 U.S.C. 119 of any application(s) for patent or inventor's certificate listed below. All applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed for the new subject matter are also identified below.

### PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY APPLICATION NO. DATE OF FILING

ALL FOREIGN APPLICATIONS, IF ANY, FILED PRIOR
TO THE APPLICATION(S) OF WHICH PRIORITY IS CLAIMED

#### **COUNTRY**

### APPLICATION NO. DATE OF FILING

#### **POWER OF ATTORNEY:**

As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark office connected therewith: Gordon D. Coplein #19,165, William F. Dudine, Jr. #20,569, Michael J. Sweedler #19,937, S. Peter Ludwig #25,351, Paul Fields #20,298, Harold E. Wurst #22,183, Joseph B. Lerch #26,936, Melvin C. Garner #26,272, Ethan Horwitz #27,646, Beverly B. Goodwin #28,417, Adda C. Gogoris #29,714, Martin E. Goldstein #20,869, Bert J. Lewen #19,407, Henry Sternberg #22,408, Robert A. Green #28,301, Peter C. Schechter #31,662, Robert Schaffer #31,194, David R. Francescani #25,159, Robert C. Sullivan, Jr. #30,499, Ira J. Levy #35,587, Joseph R. Robinson #33,448

all of the firm of DARBY & DARBY P.C., 805 Third Avenue, New York, NY 10022 and Loretta A. Miraglia #34,680 of Emisphere Technologies, Inc.

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS

TO:

DARBY & DARBY P.C. 805 Third Avenue New York, NY 10022

Joseph R. Robinson

212-527-7700

#### **IDENTIFICATION OF INVENTOR 1**

LAST NAME: Leone-Bay FIRST NAME: Andrea MIDDLE NAME:

CITY: Ridgefield STATE OR FOREIGN COUNTRY: Connecticut COUNTRY OF CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: 20 Woodland Way CITY: Ridgefield STATE OR COUNTRY: Connecticut ZIP CODE: 06877

#### **IDENTIFICATION OF INVENTOR 2**

LAST NAME: Ho FIRST NAME: Koc-Kan MIDDLE NAME:

CITY: Monmouth Junction STATE OR FOREIGN COUNTRY: New Jersey COUNTRY OF CITIZENSHIP: Macao

POST OFFICE ADDRESS: 104 Pheasant Run CITY: Monmouth Junction STATE OR COUNTRY: New Jersey ZIP CODE: 0885

#### **IDENTIFICATION OF INVENTOR 3**

LAST NAME: Sarubbi FIRST NAME: Donald MIDDLE NAME: J.

CITY: Carmel STATE OR FOREIGN COUNTRY: New York COUNTRY OF CITIZENSHIP: U.S.A

POST OFFICE ADDRESS: 25 Gail Court CITY: Carmel STATE OR COUNTRY: New York ZIP CODE: 10512

#### **IDENTIFICATION OF INVENTOR 4**

LAST NAME: Milstein FIRST NAME: Sam MIDDLE NAME: J.

CITY: Larchmont STATE OR FOREIGN COUNTRY: New York COUNTRY OF CITIZENSHIP: U.S.A

POST OFFICE ADDRESS: 105 Willow Avenue CITY: Larchmont STATE OR COUNTRY: New York ZIP CODE: 10538

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1:	DATED: 11-3-98
SIGNATURE OF INVENTOR 2:	DATED:
SIGNATURE OF INVENTOR 3:	DATED: 11/2/98
SIGNATURE OF INVENTOR 4:	DATED: 11/2/98

File No.: 1946/1B035-US4

#### **DECLARATION AND POWER OF ATTORNEY**

(Continuation-In-Part Application)

As a below named inventor, I declare that:

1. The information given herein is true, and I believe that I am the original, first and sole inventor (if only one name is listed below), or a joint inventor (if plural inventors are named below), of the invention entitled:

COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS

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X the specification in application
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 (for declaration not accompanying application);

2. This application in part discloses and claims new subject matter as well as subject matter disclosed in my earlier-filed application(s)

Serial No. PCT/US96/04580

Filed: <u>April 1, 1996</u>

Serial No. 08/414,654 (now, U.S. Patent 5,650,386)

Filed: March 31, 1995

For: COMPOUNDS AND COMPOSITIONS FOR

**DELIVERING ACTIVE AGENTS** 

Serial No. 60/003,111

Filed: September 1, 1995

Serial No. <u>60/017,902</u>

Filed: <u>March 29, 1996</u>

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- 4. I have reviewed and understand the contents of the specification, including the claims, as amended by any amendment specifically referred to herein.
- 5. As to the subject matter of this application which is common to said earlier application I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to said earlier application or in public use or on sale in the United States of America more than one year prior to said earlier application; said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application.

I hereby claim priority benefits under Title 35, United States Code, 119 of any U.S. provisional application(s) listed below:

## PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY	APPLICATION NO.	DATE OF FILING
U.S.	60/017,902	3/29/96
U.S.	60/003,111	9/1/95

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

# ALL FOREIGN APPLICATIONS, IF ANY, FILED PRIOR TO THE APPLICATION(S) OF WHICH PRIORITY IS CLAIMED

<u>COUNTRY</u> <u>APPLICATION NO.</u> <u>DATE OF FILING</u>

I hereby claim the benefit under Title 35, United States Code, 120 of any U.S. application(s) listed below:

### PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY APPLICATION NO. DATE OF FILING

U.S. 08/414,654 3/31/95

PCT PCT/US96/04580 4/1/96

PCT Application Serial No. PCT/US96/04580 filed on April 1, 1996, claims priority from U.S. Provisional Application Serial No. 60/003,111 filed on September 1, 1995 and U.S. Provisional Application Serial No. 60/017,902 filed on March 29, 1996.

6. As to the new subject matter of the present application which is not common to said earlier application I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in a printed publication in any country before my or our invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States of America more than one year prior to the date of the present application, and said matter has not been patented or made the subject of an inventor's certificate in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application. As to the new subject matter of the present application which is not common to said earlier application, I hereby claim the priority benefits under 35 U.S.C. 119 of any application(s) for patent or inventor's certificate listed below. All applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed for the new subject matter are also identified below.

# PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY APPLICATION NO. DATE OF FILING

ALL FOREIGN APPLICATIONS, IF ANY, FILED PRIOR
TO THE APPLICATION(S) OF WHICH PRIORITY IS CLAIMED

#### COUNTRY APPLICATION NO. DATE OF FILING

#### POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark office connected therewith: Gordon D. Coplein #19,165, William F. Dudine, Jr. #20,569, Michael J. Sweedler #19,937, S. Peter Ludwig #25,351, Paul Fields #20,298, Harold E. Wurst #22,183, Joseph B. Lerch #26,936, Melvin C. Garner #26,272, Ethan Horwitz #27,646, Beverly B. Goodwin #28,417, Adda C. Gogoris #29,714, Martin E. Goldstein #20,869, Bert J. Lewen #19,407, Henry Sternberg #22,408, Robert A. Green #28,301, Peter C. Schechter #31,662, Robert Schaffer #31,194, David R. Francescani #25,159, Robert C. Sullivan, Jr. #30,499, Ira J. Levy #35,587, Joseph R. Robinson #33,448

all of the firm of DARBY & DARBY P.C., 805 Third Avenue, New York, NY 10022 and Loretta A. Miraglia #34,680 of Emisphere Technologies, Inc.

SEND CORRESPONDENCE TO: TO:

DIRECT TELEPHONE CALLS

DARBY & DARBY P.C. 805 Third Avenue

Joseph R. Robinson

New York, NY 10022

212-527-7700

#### **IDENTIFICATION OF INVENTOR 1**

LAST NAME: Leone-Bay

FIRST NAME: Andrea

MIDDLE NAME:

CITY: Ridgefield STATE OR FOREIGN COUNTRY: Connecticut - COUNTRY OF CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: 20 Woodland Way CITY: Ridgefield STATE OR COUNTRY: Connecticut ZIP CODE: 06877

#### **IDENTIFICATION OF INVENTOR 2**

LAST NAME: Ho FIRST NAME: Koc-Kan

MIDDLE NAME:

CITY: Monmouth Junction STATE OR FOREIGN COUNTRY: New Jersey COUNTRY OF CITIZENSHIP: Macao

POST OFFICE ADDRESS: 104 Pheasant Run CITY: Monmouth Junction

STATE OR COUNTRY: New Jersey

ZIP CODE: 088

#### **IDENTIFICATION OF INVENTOR 3**

LAST NAME: Sarubbi

FIRST NAME: Donald

MIDDLE NAME: J.

CITY: Carmel

STATE OR FOREIGN COUNTRY: New York

COUNTRY OF CITIZENSHIP: U.S.A

POST OFFICE ADDRESS: 25 Gail Court

CITY: Carmel

STATE OR COUNTRY: New York

**ZIP CODE: 10512** 

#### **IDENTIFICATION OF INVENTOR 4**

LAST NAME: Milstein

FIRST NAME: Sam

MIDDLE NAME: J.

CITY: Larchmont STATE OR FOREIGN COUNTRY: New York COUNTRY OF CITIZENSHIP: U.S.A

POST OFFICE ADDRESS: 105 Willow Avenue CITY: Larchmont STATE OR COUNTRY: New York

ZIP CODE: 10538

I further declare that all statements made herein of my own knowledge are true and t all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the so made are punishable by fine or imprisonment, or both, under Section 1001 of Title of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1:	DATED:
SIGNATURE OF INVENTOR 2: Ho hast dan.	DATED: _11/03/88
SIGNATURE OF INVENTOR 3:	DATED:
SIGNATURE OF INVENTOR 4:	DATED:

(D&DForms/PTO-22)

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